

FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
2004\_1509ATRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.  
(if known, see 37 CFR 1.51)  
NEW 107508788International Application No.  
PCT/JP03/03684International Filing Date  
March 26, 2003Priority Date Claimed  
March 26, 2002**Title of Invention**

TAPE-SHAPED MOLDING AND BELT FOR BALL CHAIN

**Applicant(s) For DO/EO/US**

Nobuyuki MASUMURA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau). **ATTACHMENT A**
  - b. ☒ has been transmitted by the International Bureau. **ATTACHMENT B**
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT C**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration of the inventor(s) with Cover Letter (35 U.S.C. §371(c)(4)). **ATTACHMENT D**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 14. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

**ATTACHMENT E**

13. ☒ A **FIRST** preliminary amendment. **ATTACHMENT F**
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

14. ☒ Other items or information:

- International Search Report - **ATTACHMENT G**
- International Preliminary Examination Report (in Japanese) - **ATTACHMENT H**

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

<b>U.S. APPLICATION NO.</b> <u>10/508788</u> NEW		<b>INTERNATIONAL APPLICATION NO.</b> PCT/JP03/03684		<b>ATTORNEY'S DOCKET NO.</b> 2004_1509A																	
15. <input checked="" type="checkbox"/> The following fees are submitted  <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00 International Search Report has been prepared by the EPO or JPO ..... \$ 920.00 International preliminary examination fee not paid to USPTO but international search paid to USPTO ..... \$ 770.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		CALCULATIONS	PTO USE ONLY														
CALCULATIONS	PTO USE ONLY																				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 920.00																	
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>12 - 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>4 - 3 =</td> <td>1</td> <td>X \$86.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$290.00</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate	Total Claims	12 - 20 =	0	X \$18.00	Independent Claims	4 - 3 =	1	X \$86.00	Multiple dependent claim(s) (if applicable)			+ \$290.00	\$	
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Total Claims	12 - 20 =	0	X \$18.00																		
Independent Claims	4 - 3 =	1	X \$86.00																		
Multiple dependent claim(s) (if applicable)			+ \$290.00																		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,006.00																	
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$																	
<b>SUBTOTAL =</b>				\$1,006.00																	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$																	
<b>TOTAL NATIONAL FEE =</b>				\$1,006.00																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 40.00																	
<b>TOTAL FEES ENCLOSED =</b>				\$1,046.00																	
				Amount to be refunded	\$																
				Amount to be charged	\$																
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,046.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .  <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))          must be filed and granted to restore the application to pending status.</b>																					
19. CORRESPONDENCE ADDRESS   <div style="text-align: center;"> <b>CUSTOMER NO.</b>  <b>000513</b> </div>			By: <u>Charles R. Watts</u> Charles R. Watts, Registration No. 33,142  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250  September 23, 2004																		

[CHECK NO.]

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[2004\_1509A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Nobuyuki MASUMURA et al. :  
Serial No. NEW : Attorney Docket No. 2004\_1509A  
Filed September 23, 2004 :

TAPE-SHAPED MOLDING AND BELT  
FOR BALL CHAIN  
[Corresponding to PCT/JP03/03684  
Filed March 26, 2003]

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**SUBMISSION OF ENGLISH/JAPANESE  
DECLARATION AND POWER OF ATTORNEY**


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The attached Declaration and Power of Attorney includes both Japanese language text and English language text. The English language text constitutes an accurate translation of the Japanese language text.

Respectfully submitted,

Nobuyuki MASUMURA et al.

By   
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
September 23, 2004